PTO/SB08A (08-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no percos are required respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO STREET SUBSTITUTE OF STREET STREET SUBSTITUTE OF STREET STREET SUBSTITUTE OF STREET SUBSTITUTE SUBSTITUTE OF STREET SUBSTITUTE OF STREET SUBSTITUTE SUBSTITUTE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

SHEET 1 of 2

COMPL	ETE IF KNOWN
Application Number	10/659,100
Confirmation Number	4328
Filing Date	September 10, 2003
First Named Inventor	Paul Sagel
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	9031

### **U. S. PATENT DOCUMENTS**

EXAMINER	Cite	DOCUMENT NUMBER Pages, Columns, Lines				
INITIALS*	No.¹	Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
LR	1	US- RE. 33,093	10/17/1989	Schiraldi et al.		
L	2	US- 2,835,628	05/20/1958	Saffir		
	3	US- 3,688,406	09/05/1972	Porter et al.		
	4	US- 3,754,332	08/28/1973	Warren, Jr.		
	5	US- 3,955,281	05/11/1976	Weitzman		
	6	US- 4,138,314	02/06/1979	Patil et al.		
	7	US- 4,138,814	02/13/1979	Weitzman		
	8	US- 4,307,075	12/22/1981	Martin		
	9	US- 4,324,547	04/13/1982	Arcan et al.		
	10	US- 4,713,243	12/15/1987	Schiraldi et al.		
	11	US- 4,728,291	03/01/1988	Golub		
9	12	US- 4,741,700	05/03/1988	Barabe		
	13	US- 4,741,941	05/03/1988	Englebert et al.		
1	14	US- 4,786,253	11/22/1988	Morais		
	15	US- 4,799,888	01/24/1989	Golub		
	16	US- 4,900,552	02/13/1990	Sanvordeker et al.	Ì	
	17	US- 4,900,554	02/13/1990	Yanagibashi et al.		
	18	US- 4,919,615	04/24/1990	Croll		
	19	US- 5,098,303	03/24/1992	Fischer		
	20	US- 5,166,233	11/24/1992	Kuroya et al.		
	21	US- 5,211,559	05/18/1993	Hart et al.		
	22	US- 5,310,563	05/10/1994	Curtis et al.		
	23	US- 5,326,685	07/05/1994	Gaglio et al.		
	24	US- 5,340,314	08/23/1994	Tarvis		
<del></del>	25	US- 5,340,581	08/23/1994	Tseng et al.		
	26	US- 5,409,631	04/25/1995	Fischer		
	27	US- 5,438,076	08/01/1995	Friedman et al.		
	28	US- 5,626,866	05/06/1997	Ebert et al.		
	29	US- 5,639,445	06/17/1997	Curtis et al.		
	30	US- 5,707,235	01/13/1998	Knutson		
	31	US- 5,707,736	01/13/1998	Levy et al.	<u> </u>	
	32	US- 5,713,738	02/03/1998	Yarborough		
	33	US- 5,723,132	03/03/1998	Tseng et al.	<u> </u>	
	34	US- 5,879,691	03/09/1999	Sagel et al.		
	35	US- 5,891,453	04/06/1999	Sagel et al.		
	36	US- 5,234,342	08/10/1993	Fischer		
	37	US- 5,894,017	04/13/1999	Sagel et al.		
	38	US- 5,380,198	01/10/1995	Suhonen		
	39	US- 5,425,953	06/20/1995	Sintov et al.		
	40	US- 5,560,379	10/01/1996	Pieczenik		
<del></del> -	41	US- 5,575,654	11/19/1996	Fontenot		
<del></del> -	42	US- 5,611,687	03/18/1997	Wagner		
<del>\ /</del>	43	US- 5,620,322	04/15/1997	Lococo		
<del>-v</del> -	44	US- 6,096,328	08/01/2000	Sagel et al.		
LR	45	US- 6,419,906 B1	07/16/2002	Xu et al.		
_тк	40	03-0,419,900 B1	07/10/2002	I va et ai.	L	

Please type a plus sign (+) inside this box  $\rightarrow$  [+]

06/30/2006

PTO/SB08B (08-03)

## Substitute for form 1449A/PTO INFORMATION DISCL STATEMENT BY APPL

(use as many sheets as necessary)

SHEET **%**of 2

COMPL	ETE IF KNOWN
Application Number	10/659,100
Confirmation Number	4328
Filing Date	September 10, 2003
First Named Inventor	Paul Sagel
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	9031

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No.1	FOREIGN PATENT DO  Country Code <sup>3</sup> Number <sup>4</sup>	CUMENT  Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
LR	46	DE 1 104 116		04/06/1961	Eisenhut		T
	47	DE 23 30 869	A1	09/01/1975	Hanel		Abstract
	48	EPO 0 763 358	A1	03/19/1997	Liao et al.		
	49	GB 1142325		02/05/1969	Russell		
	50	GB 2 108841	Α	05/25/1983	Kissel et al.		
	51	JP 10 – 17448		01/20/1998	Kunitomo et al.		T
	52	JP 63 - 54318		03/08/1988	Kazuyoshi et al.		Τ
<b>T</b>	53	RU 2075965 - C1		03/27/1997	Chukhadzhyan		Abstract
LR	54	WO 95/05416	A2	02/23/1995	Biegajski		
EXAMINER	₹	/Lezah	Roberts/	,	DATE CONSIDERED 06/3	30/2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
LR	55	BRISTON, J.H., "Plastic Films", The Plastics Institute, pp. 96 – 97 (1974).			
LR	56	GOLDSTEIN, R.E., et al., "Complete Dental Bleaching", Quintessence Publishing Co. Inc., pp. 25 – 32 and 90 – 97 (1995).			
LR	57	McLAUGHLIN, et al. "Color Atlas of Tooth Whitening", Ishiyaku EuroAmerica, Inc. pp. 35 – 38 and 45 -50 (1991).			
LR	58	NEWMAN, S.M. et al., "Tray – forming technique for Dentist – supervised home bleaching", Quintessence International, Vol. 26, No. 7, pp. 447 – 453 (1995).			
EXAMINE	₹	/Lezah Roberts/ DATE CONSIDERED 06/30/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

9031PTO-SB08